

lecture  
**twenty four**

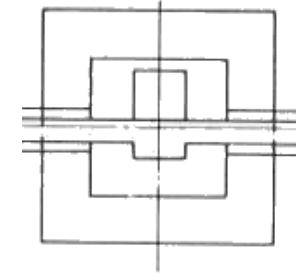
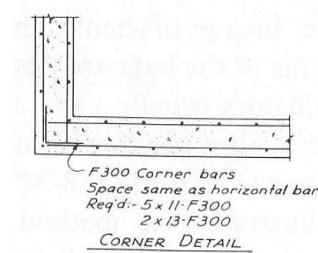
**foundations and retaining walls**



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**Foundation**

- the engineered interface between the earth and the structure it supports that transmits the loads to the soil or rock



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**Structural vs. Foundation Design**

- structural design
  - choice of materials
  - choice of framing system
  - uniform materials and quality assurance
  - design largely independent of geology, climate, etc.



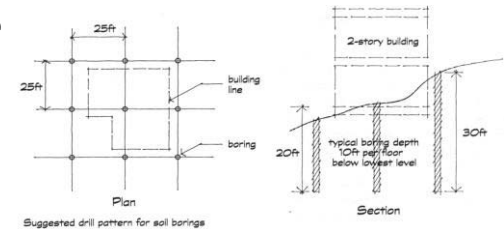
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**Structural vs. Foundation Design**

- foundation design
  - cannot specify site materials
  - site is usually predetermined
  - framing/structure predetermined
  - site geology influences foundation choice
  - no site the same
  - no design the same



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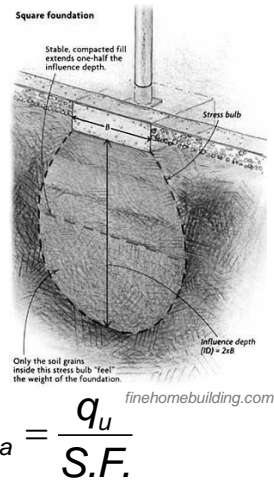
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## Design Assumptions

- *validity dependant on:*
  - quality of site investigation
  - construction monitoring
  - your experience
  - flexibility of the design

## Soil Properties & Mechanics

- *compressibility*
  - settlements
- *strength*
  - stability
    - shallow foundations
    - deep foundations
    - slopes and walls
  - ultimate bearing capacity,  $q_u$
  - allowable bearing capacity,  $q_a = \frac{q_u}{S.F.}$



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## Soil Properties & Mechanics

- unit weight of soil
- allowable soil pressure
- factored net soil pressure
- shear resistance
- backfill pressure
- cohesion & friction of soil
- effect of water
- settlement
- rock fracture behavior



## Soil Properties & Mechanics

- *strength,  $q_a$*

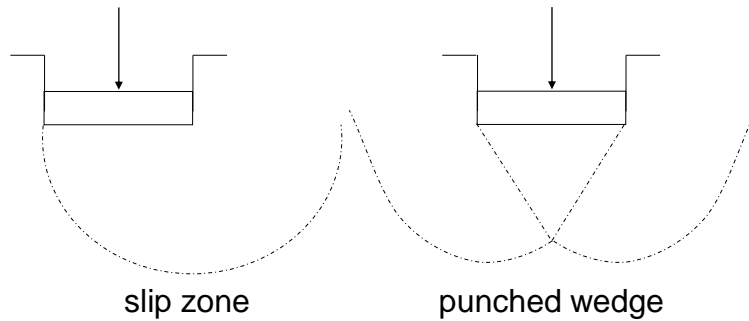
Class of material	Loadbearing pressure (pounds per square foot) <sup>a</sup>
1. Crystalline bedrock	12,000
2. Sedimentary rock	6,000
3. Sandy Gravel	5,000
4. Sand, silty sand, clayey sand, silty gravel and clayey gravel	3,000
5. Clay, sandy clay, silty clay & clayey silt	2,000

Note a. 1 psf = 47.9 Pa.

FIGURE 2.5  
Presumptive surface bearing values of various soils, as given in the BOCA National Building Code/1996. (Reproduced by permission)

## Bearing Failure

- shear



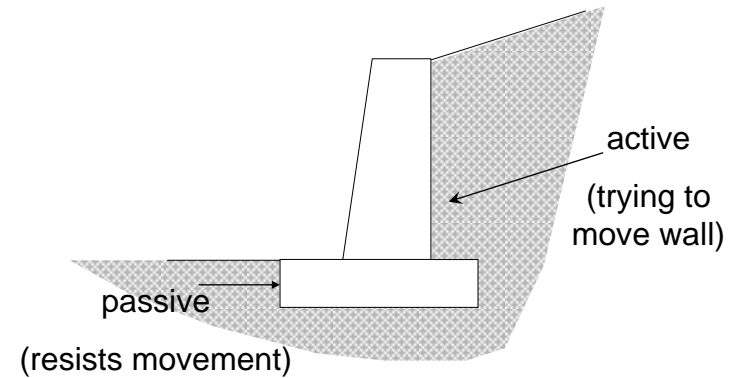
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## Lateral Earth Pressure

- passive vs. active



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## Settlements - Considerations

- How do we estimate the amount for a given design?
- What are the tolerable movements?
- If our estimate is greater than the tolerable movement, what do we do?



www.calculustfoundations.com

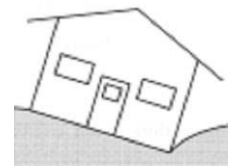
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## Settlements - Components

- vertical
  - immediate (sands)
  - consolidation (clays)
  - secondary (organic soils/peats)
- tilting
  - eccentric loads
  - non-uniform stress distribution
- distortion -  $\frac{\Delta}{L}$



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## Excessive Settlement

- we can try
  - deeper foundation
  - alter structure
  - concrete/soil mat foundation
  - reduce the load
  - move the structure
  - modify the foundation type
  - modify the soil



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## Foundation Materials

- concrete, plain or reinforced
  - shear
  - bearing capacity
  - bending
  - embedment length, development length
- other materials (piles)
  - steel
  - wood
  - composite



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## Construction

- unique to type of footing
  - excavation
  - sheeting and bracing
  - water control  
(drainage/stabilization)
  - fill: placement & compaction
  - pile driver or hammer
  - caisson
  - underpinning (existing foundation)



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## Basic Foundation Requirements

- safe against instability or collapse
- no excessive/damaging settlements
- consider environment
  - frost action
  - shrinkage/swelling
  - adjacent structure, property lines
  - ground water
  - underground defects
  - earthquake
- economics



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## Generalized Design Steps

- calculate loads
- characterize soil
- determine footing location and depth
- evaluate soil bearing capacity
- determine footing size (unfactored loads)
- calculate contact pressure and check stability
- estimate settlements
- design footing structure \* (factored loads)

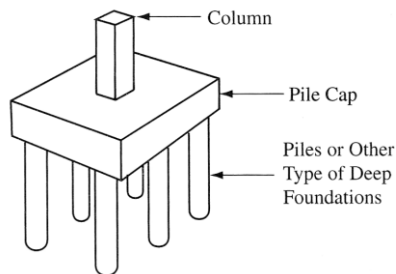
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## Types of Foundations

- mat foundations
- retaining walls
- basement walls
- pile foundations
- drilled piers



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## Types of Foundations

- spread footings
- wall footings
- eccentric footings
- combined footings
- unsymmetrical footings
- strap footings

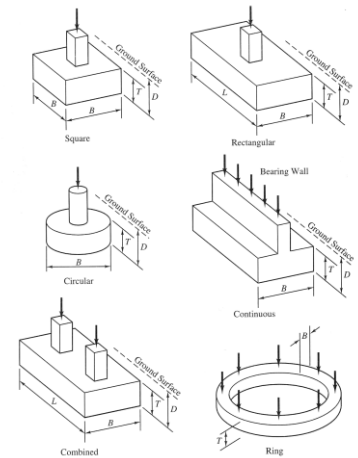


Figure 5.1 Spread footing shapes and dimensions.

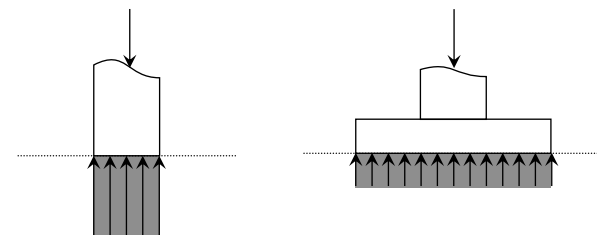
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## Shallow Foundations

- spread footing
  - a square or rectangular footing supporting a single column
  - reduces stress from load to size the ground can withstand



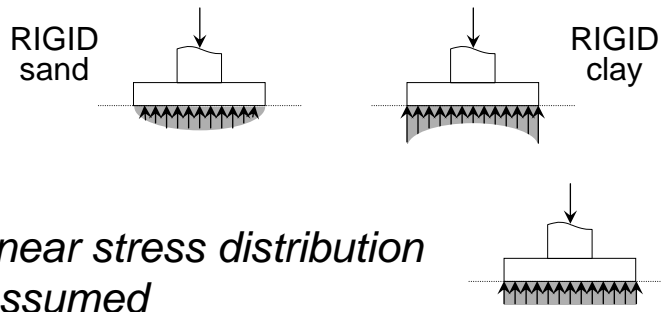
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## Actual vs. Design Soil Pressure

- stress distribution is a function of
  - footing rigidity
  - soil behavior



- linear stress distribution assumed

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## Concrete Spread Footings

- plain or reinforced
- ACI specifications
- $P_u =$  combination of factored  $D, L, W$
- ultimate strength
  - $V_u \leq \phi V_c$ :  $\phi = 0.75$  for shear
    - plain concrete has shear strength
  - $M_u \leq \phi M_n$ :  $\phi = 0.9$  for flexure

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## Concrete Spread Footings

- failure modes

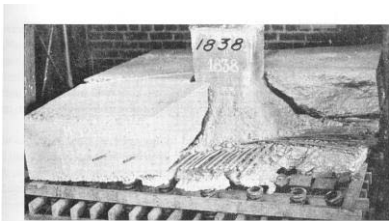


Figure 9.2 "Shear" failure in a spread footing loaded in a laboratory (Talbot, 1913). Observe how this failure actually is a combination of tension and shear.

shear

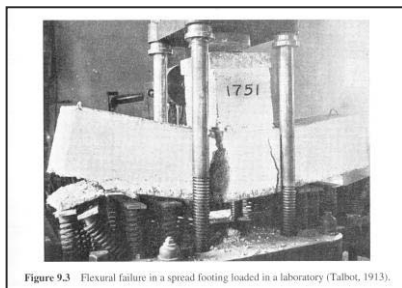


Figure 9.3 Flexural failure in a spread footing loaded in a laboratory (Talbot, 1913).

bending

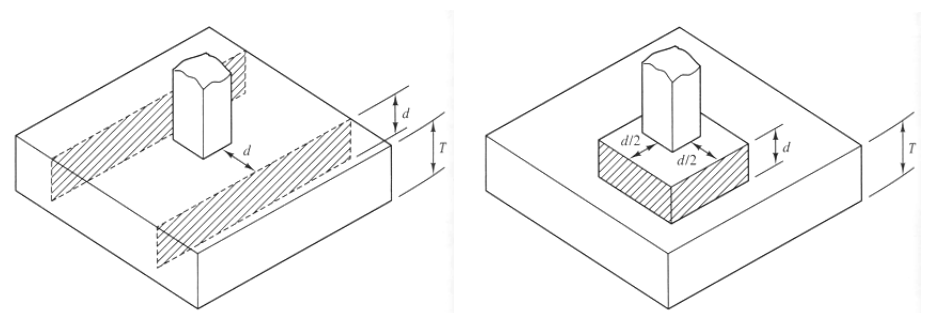
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## Concrete Spread Footings

- shear failure



one way shear

two way shear

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## Over and Under-reinforcement

- reinforcement ratio for bending
  - $\rho = \frac{A_s}{bd}$
  - use as a design estimate to find  $A_s, b, d$
  - max  $\rho = 0.75 \rho_b$
  - minimum for slabs & footings of uniform thickness
    - $\frac{A_s}{bh} = 0.002$  grade 40/50 bars
    - $= 0.0018$  grade 60 bars

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## Reinforcement Length

- need length,  $l_d$ 
  - bond
  - development of yield strength

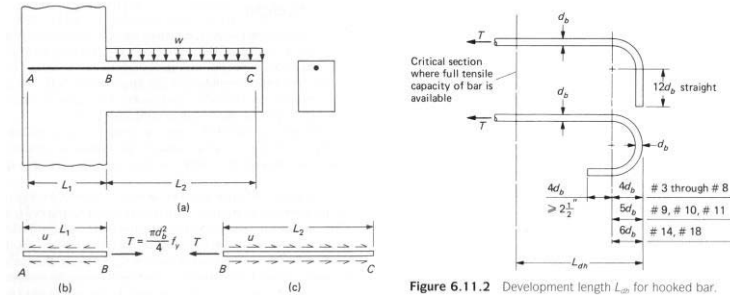


Figure 6.2.1 Development of reinforcement.

Figure 6.11.2 Development length  $L_{dn}$  for hooked bar.

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## Column Connection

- bearing of column on footing

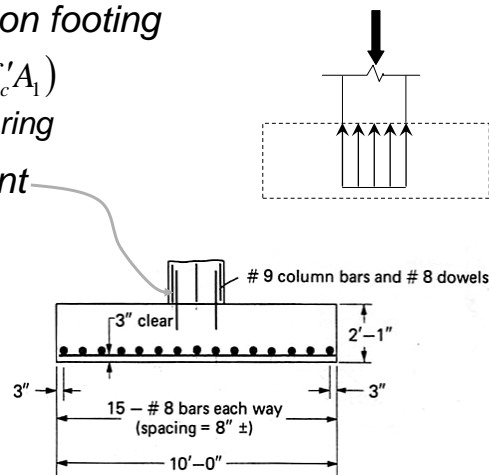
$$P_u \leq \phi P_n = \phi(0.85 f'_c A_1)$$

$\phi = 0.65$  for bearing

- dowel reinforcement

- if  $P_u > P_b$ , need compression reinforcement

- min of 4 - #5 bars (or 15 metric)



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## Wall Footings

- continuous strip for load bearing walls

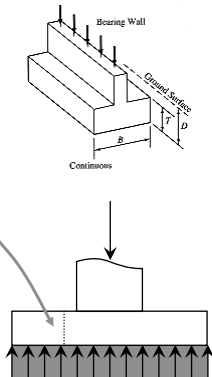
- plain or reinforced

- behavior

- wide beam shear
- bending of projection

- dimensions usually dictated by codes for residential walls

- light loads



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## Wall Footings - plain vs. reinforced

- trade off in amounts of material
  - can save time if cost of extra concrete is justified (plain)
  - local codes may not allow plain footings
  - with same load, plain about twice as thick as minimally reinforced footing

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## Eccentrically Loaded Footings

- footings subject to moments



- soil pressure resultant force may not coincide with the centroid of the footing

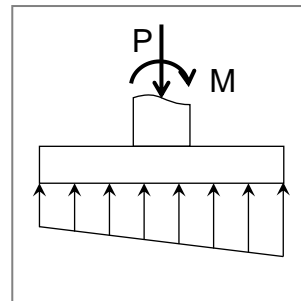
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## Differential Soil Pressure

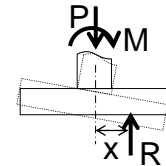
- to avoid large rotations, limit the differential soil pressure across footing
- for rigid footing, simplification of soil pressure is a linear distribution based on constant ratio of pressure to settlement



## Guidelines

- want resultant of load from pressure inside the middle third of base
  - ensures stability with respect to overturning

$$SF = \frac{M_{resist}}{M_{overturning}} = \frac{R \cdot x}{M} \geq 1.5$$



- pressure under toe (moment)  $\leq q_a$
- shortcut using uniform soil pressure for design moments gives similar steel areas

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## Combined Footings

- supports two columns
- used when space is tight and spread footings would overlap or when at property line



- soil pressure might not be uniform
- proportion so pressure will uniform for sustained loads
- behaves like beam lengthwise

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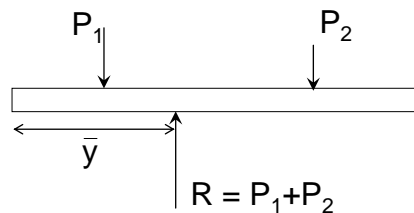
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## Proportioning

- uniform settling is desired
- area is proportioned with sustained column loads
- resultant coincides with centroid of footing area for uniformly distributed pressure assuming rigid footing

$$q_{max} \leq q_a$$



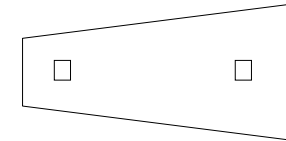
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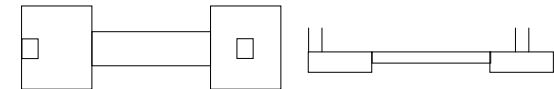
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## Combined Footing Types

- rectangular
- trapezoid

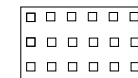


- strap or cantilever
  - prevents overturning of exterior column



- raft/mat

- more than two columns over an extended area



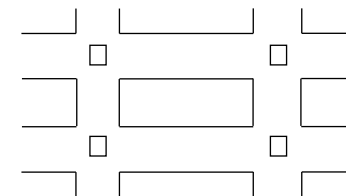
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## Multiple Column Footings

- used where bearing capacity of subsoil is so low that large bearing areas are needed
- grid foundation
  - continuous strips between columns
  - treat like rectangular combined footings with moment for beam



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# Multiple Column Footings

- when bearing capacity is even lower, strips in grid foundation merge into mat
  - upside down flat slab or plate

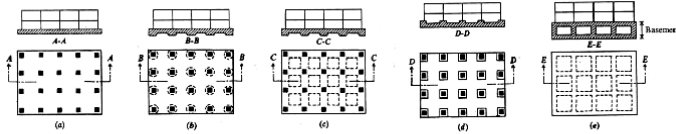
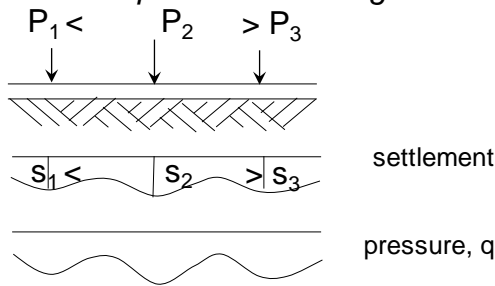


Figure 10-1 Common types of mat foundations. (a) Flat plate; (b) plate thickened under columns; (c) wall/slab; (d) plate with pedestals; (e) basement walls as part of mat.

# Mat Foundations

- rigid foundations
  - soil pressures presumed linear
- flexible foundation
  - settlements and pressures no longer linear



$$q = k_s \cdot s$$

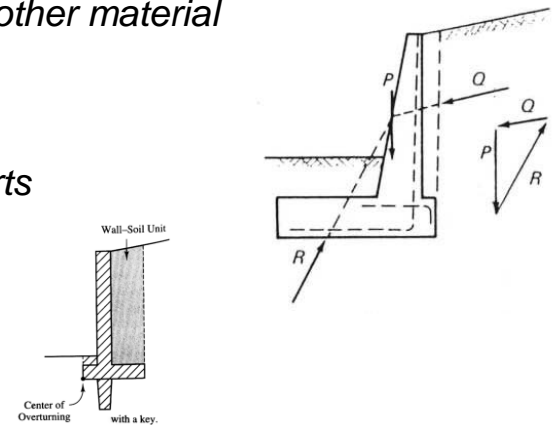
$k_s$  is a mechanical soil property

# Settling of Multiple Column Footings

- use if we can't space columns such that the centroid of foundation coincides with load resultant
  - geometry helps reduce differential settlement
  - variable soil
  - structure sensitive to differential settlements

# Retaining Walls

- purpose
  - retain soil or other material
- basic parts
  - wall & base
  - additional parts
    - counterfort
    - buttress
    - key



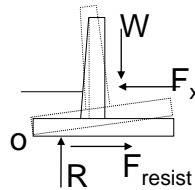
# Retaining Walls

- considerations

- overturning
- settlement
- allowable bearing pressure
- sliding
- (adequate drainage)

$$SF = \frac{M_{resist}}{M_{overturning}} \geq 1.5 - 2$$

$$SF = \frac{F_{resist}}{F_{sliding}} \geq 1.25 - 2$$



- procedure

- proportion and check stability with working loads
- design structure with factored loads

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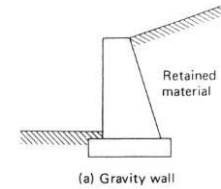
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# Retaining Wall Types

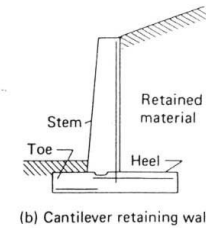
- “gravity” wall

- usually unreinforced
- economical & simple



- cantilever retaining wall

- common



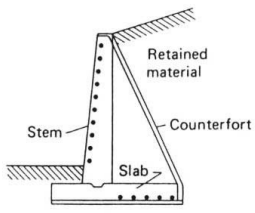
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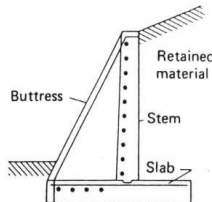
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# Retaining Wall Types

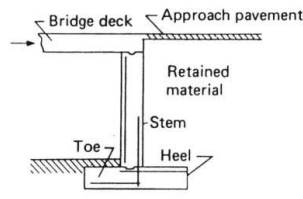
- counterfort wall
  - buttress wall
  - bridge abutment
  - basement frame wall (large basement areas)
- } very tall walls (> 20 - 25 ft)



(c) Counterfort wall



(d) Buttress wall



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# Deep Foundations

- usage

- when spread footings, mats won't work
- when they are required to transfer the structural loads to good bearing material
- to resist uplift or overturning
- to compact soil
- to control settlements of spread or mat foundations

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# Deep Foundation Types

- piles - usually driven, 6"-8"  $\phi$ , 5' +
- piers
- caissons
- drilled shafts
- bored piles
- pressure injected piles

drilled, excavated,  
concreted (with or  
without steel)  
2.5' - 10'/12'  $\phi$

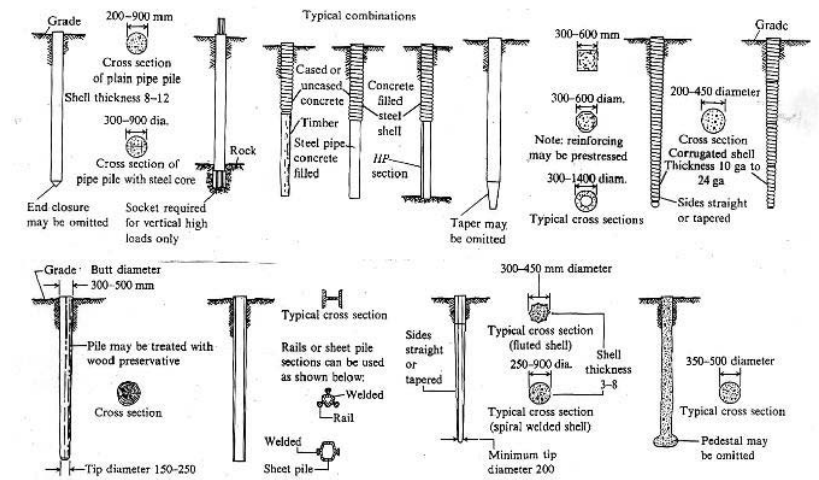


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# Deep Foundation Types



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# Deep Foundations

- classification
  - by material
  - by shape
  - by function (structural, compaction...)
- pile placement methods
  - driving with pile hammer (noise & vibration)
  - driving with vibration (quieter)
  - jacking
  - drilling hole & filling with pile or concrete



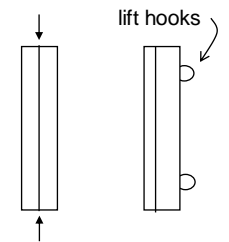
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# Piles Classified By Material

- timber
  - use for temporary construction
  - to densify loose sands
  - embankments
  - fenders, dolphins (marine)
- concrete
  - precast: ordinary reinforcement or prestressed
  - designed for axial capacity and bending with handling



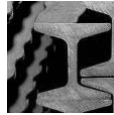
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## Piles Classified By Material

- steel
  - rolled HP shapes or pipes
  - pipes may be filled with concrete
  - HP displaces little soil and may either break small boulders or displace them to the side



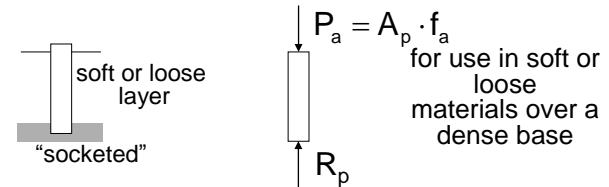
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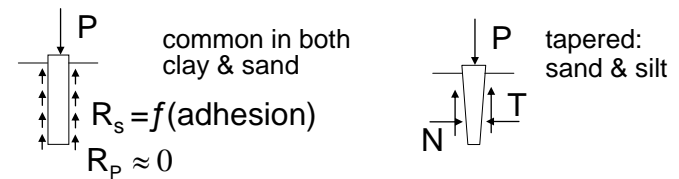
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## Piles Classified By Function

- end bearing pile (point bearing)



- friction piles (floating)



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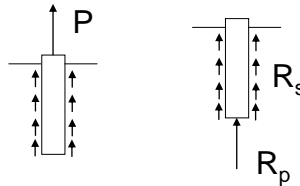
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## Piles Classified By Function

- combination friction and end bearing

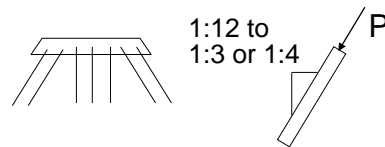
- uplift/tension piles

structures that float,  
towers



- batter piles

angled,  
cost more,  
resist large  
horizontal loads



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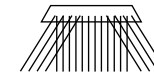
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## Piles Classified By Function

- fender piles, dolphins, pile clusters

large # of piles  
in a small area



- compaction piles

- used to densify loose sands

- drilled piers

- eliminate need for pile caps
- designed for bearing capacity (not slender)

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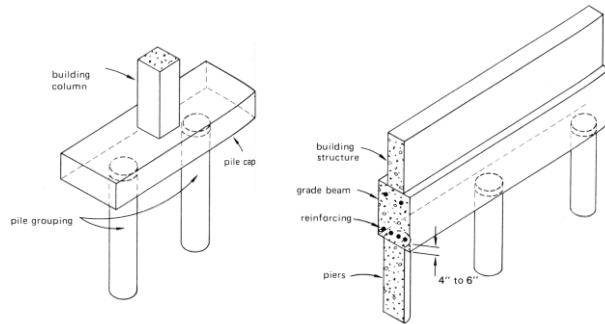
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## Pile Caps and Grade Beams

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- like multiple column footing
- more shear areas to consider



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